



Food and Agriculture  
Organization of the  
United Nations



BASEL CONVENTION



ROTTERDAM CONVENTION



STOCKHOLM CONVENTION

## BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

### PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input checked="" type="checkbox"/> BC <input type="checkbox"/> RC <input type="checkbox"/> SC <input type="checkbox"/> SYN		Meeting of the Basel Convention Implementation and Compliance Committee	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Parties to the Basel Convention that are the subject of a submission to the Basel Convention Implementation and Compliance Committee. All parties for the editing/translating of meeting documents
Project start date: <i>04/06/2016</i> for the ICC-12 meeting For editing/translating of meeting documents: <i>January 2016</i>		Project completion date: <i>06/06/2016</i> for the ICC-12 meeting For editing/translating of meeting documents: <i>March 2016 for OEWG-10 and February 2017 for COP-13</i>	
Project start date: <i>04/06/2016</i> for the ICC-12 meeting For editing/translating of meeting documents: <i>January 2016</i>		Total duration: <i>3 days</i> for the ICC-12 meeting For editing/translating of meeting documents: <i>between 3 and 13 months</i>	
<b>LEGAL BASIS AND MANDATE</b>			
<p>By its decision VI/12, the COP established a mechanism for promoting implementation and compliance administered by a Committee (hereinafter "ICC") and adopted its terms of reference. In line with paragraph 8 of the terms of reference of the ICC, the ICC shall meet at least once between each regular meeting of the COP and in conjunction with meetings of other Convention bodies. In accordance with paragraph 15 of the terms of reference, all parties considered by a submission "shall" be invited to participate in its consideration by the ICC.</p> <p>By its decision BC-12/25: Programme of work and proposed budget for the Basel Convention for the biennium 2016–2017, BC COP-12 agreed on, respectively took note of, the funding needs for a meeting of the ICC. Funding from the core budget was allocated to cover the costs of participation (travel and DSA) of eligible ICC members to the meeting. The funding needs to allow participation of up to 10 eligible representatives of parties concerned by a submission to the ICC were identified to amount to USD 30,280.</p> <p>In its decision BC-12/7: Committee for Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention, the COP, adopted the work programme of the ICC which, among other things, provides for the development of guidance on paragraphs 2, 3 and 4 of Article 9 of the Convention on illegal traffic, including in consultation with OEWG-10, guidance to improve the implementation of paragraph 11 of Article 6 of the Convention on insurance, bond and guarantee, for consideration and possible adoption by the Conference of the Parties at its thirteenth meeting, and the development of revised guidance on improving national reporting.</p> <p>Funding for the editing of 150 pages of meeting documents and editing and translation of up to 50 pages of documents (total of USD 38,750), such as the guidance documents mentioned above, prepared by the ICC for COP consideration and adoption, has not been reflected in the programme of work and budget for the biennium 2016–2017. Funding for this activity would therefore be <u>extrabudgetary</u>.</p>			
<b>BACKGROUND INFORMATION AND PROJECT JUSTIFICATION</b>			
<p>The ICC aims at helping parties implement the provisions of the Convention. Under its specific submissions mandate, the ICC is to consider any submission made to it by a party or the Secretariat with a view to determining the facts and root causes of the matter of concern and, assist in its resolution. Where a party is identified in a submission or itself makes a submission, it shall be invited to participate in the consideration of the submission by the ICC.</p> <p>At the time of COP-12, 11 parties were identified in submissions under consideration by the ICC, namely: Afghanistan, Bhutan, Cabo Verde, Central African Republic, Eritrea, Guinea Bissau, Liberia, Libya, Oman, Swaziland and Togo. Additional submissions may be made to the ICC subsequently to COP-12 and would be considered by the ICC during its next meeting. As mentioned above, the terms of reference require that a</p>			

party concerned by a submission be invited to participate in its consideration by the ICC. During the eleventh meeting of the ICC, nine such parties sent representatives. Face-to-face interaction has facilitated greatly the work of the ICC.

The twelfth meeting of the ICC is scheduled to take place on 4-6 June 2016 in Nairobi, Kenya, back-to-back to the tenth meeting of the Open-ended Working Group. At that time, the ICC is expected to continue its consideration of the specific submissions before it with a view to resolving the implementation and compliance difficulties faced by parties. Face-to-face interaction is expected once again to facilitate the work of the ICC and to foster reaching the objectives of the mechanism.

OEWG-10 is expected to consider draft guidance on paragraphs 2, 3 and 4 of Article 9 of the Convention on illegal traffic prepared by the ICC. COP-13 is expected to consider for adoption draft guidance on paragraphs 2, 3 and 4 of Article 9 of the Convention on illegal traffic, draft guidance to improve the implementation of paragraph 11 of Article 6 of the Convention on insurance, bond and guarantee as well as revised guidance on improving national reporting. The editing and translation of these draft guidance documents would allow the OEWG and the COP to be in a position to work on the basis of meeting documents in the six languages of the United Nations, subject to the availability of sufficient funding.

This activity will contribute to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns.

#### PROPOSED ACTIVITIES

1. All the parties identified in a submission under consideration by the ICC will be invited to the twelfth meeting of the Committee. For those parties whose representatives are eligible for funding the Secretariat will, subject to the availability of that funding, cover the costs of participation (travel and DSA).
2. Draft guidance documents prepared by the ICC for the consideration of OEWG-10 and for the consideration and possible adoption by COP-13 will, subject to the availability of sufficient funding, be edited and translated in the six languages of the United Nations (editing of 150 pages of meeting documents and editing and translation of up to 50 pages of documents).

#### RESULTS TO BE ACHIEVED

##### Expected results:

1. Participation in person is expected to allow the ICC to better deliver on its responsibilities: understanding the root causes of the matter of concern and assist in its resolution. Participation in face-to-face meeting is also expected to promote the party's understanding of the Convention, its obligations, how to implement and comply with its obligations and, therefore, contribute to reaching the objectives of the Convention. The specific submission is expected to remain under the consideration of the ICC until the ICC decides that the matter has been resolved.
2. OEWG-10 and COP-13 are in a position to consider edited documents for adoption that are available in the six UN languages (subject to the availability of sufficient funding).

##### Indicator of success:

1. Participation of at least half of the parties concerned by a submission during ICC-12 (subject to availability of funding);
2. The documents are edited and translated in the six UN languages (subject to the availability of sufficient funding).

##### Means of verification:

1. List of participants of the ICC-12 meeting;
2. OEWG-10 and COP-13 meeting documents are publicly available.

#### PROJECT SUSTAINABILITY AND REPLICABILITY

Participation during the meeting of the ICC is just one among several elements to help achieve the objectives of the mechanism for promoting implementation and compliance aims at helping parties implement the provisions of the Convention, and the objectives of the Convention as a whole. Participation in person is expected to constitute one important event in the continuum of steps taken to resolve a party's implementation and compliance difficulties.

Steps are taken prior to and after the meeting to promote the continued cooperation between the ICC and the party concerned, such as information exchange, follow up letters, and monitoring of the implementation, by the party, of the decision adopted by the ICC during its meeting. Because the terms of reference require that the party concerned be invited to participate in the meeting, the COP has so far always noted the budget needs associated with such participation, thereby ensuring replicability.

The editing and translation of guidance documents developed by the ICC for COP adoption will help ensure that the guidance is not only understood, when considered, but more widely used once it is adopted.

#### GENDER MAINSTREAMING

Identification of the party's representative attending the meeting of the ICC is a matter for the party concerned to determine. This representative usually is the contact person within the designated Focal Point or Competent Authority of the party concerned. In line with the BRS Gender Action Plan, the Secretariat will, where appropriate, encourage governments to take into account gender-related aspects in their nomination of their representative to the meeting. The Secretariat will also advise the ICC of any potential gender impacts of the matters considered by the ICC.

#### OUTREACH AND INFORMATION SHARING

Information about the meetings of the ICC is shared through briefings with the Permanent Missions in Geneva, posting on the website of the Convention, communications between the ICC and the Secretariat with the parties concerned by a submissions, press releases when relevant, various presentations/speeches by the Secretariat on the work of the ICC in the context of its cooperation with partners, webinars, reports back to the COP. The OEWG-10 and COP-13 meeting documents will be made publicly available on the website of the Basel Convention.

#### BUDGET [USD] FOR 2016-2017

STAFF PERSONNEL	-
CONTRACTUAL SERVICE	38,750
TRAVEL	30,280
EQUIPMENT, VEHICLES, FURNITURE	-
OTHER OPERATIONAL COSTS	-
GRANTS OUT	-
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>	<b>69,030</b>
<b>Programme Support Costs (PSC) 13%</b>	<b>8,974</b>
<b>TOTAL OPERATIONAL BUDGET</b>	<b>78,004</b>