

# BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

## PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input checked="" type="checkbox"/> BC <input type="checkbox"/> RC <input type="checkbox"/> SC <input type="checkbox"/> SYN		Facilitation of the entry into force of the Ban Amendment	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input checked="" type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input type="checkbox"/> Global <input type="checkbox"/> Regional <input checked="" type="checkbox"/> National	Parties to the Basel Convention
Project start date: 01/01/2016		Project completion date: 31/12/2017	Total duration: 24 months
<b>LEGAL BASIS AND MANDATE</b>			
<p>Activity 14 of the Basel Convention Programme of Work 2016-2017            Decision BC-11/1 Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention            Decision BC-12/9 Technical Assistance            Decision BC-12/1 Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention</p>			
<b>BACKGROUND INFORMATION AND PROJECT JUSTIFICATION</b>			
<p>The Ban Amendment provides for the prohibition of all transboundary movements from each Party included in Annex VII of the Basel Convention (Parties and other States which are members of the OECD, EC, Liechtenstein) to States not included in Annex VII of hazardous wastes covered by the Convention that are intended for final disposal, and of all transboundary movements to States not included in Annex VII of hazardous wastes covered by paragraph 1 (a) of Article 1 of the Convention that are destined for reuse, recycling or recovery operations.</p> <p>This activity responds to specific requests for assistance from the participating Parties as well as willingness expressed by donors to provide support in relation to support to Parties' efforts on this matter. The activity will also be supportive of efforts towards the ratification of the Minamata Convention on Mercury in countries that participate in the activity. This is in line with interest and readiness to cooperate and coordinate with the Minamata Convention signalled by parties, in particular since the adoption of the Convention in 2013.</p> <p>The overall objective of the activity is to raise awareness and provide the Governments of two to three interested Parties with support to address difficulties faced in the ratification of the Ban Amendment under the Basel Convention.</p> <p>Specifically the activity will aim to:</p> <ol style="list-style-type: none"> <li>1. Support the Governments of the participating countries in addressing their specific needs at the national level to enable them to ratify, accept or approve the Ban Amendment;</li> <li>2. Contribute to assisting the Governments with regard to implementing the Basel Convention overall, with specific focus on decisions to prohibit the import of hazardous and other wastes; and</li> <li>3. Raise awareness on the steps towards and implications of the consent to be bound by the Minamata Convention, including similarities with the steps as relate to the Ban Amendment as appropriate.</li> </ol>			
<b>PROPOSED ACTIVITIES</b>			
<ol style="list-style-type: none"> <li>1. Organization of a national multi-stakeholder consultation on transboundary movements of hazardous and other wastes, including the Ban Amendment (1 day) in two to three countries<sup>1</sup>;</li> <li>2. Organization of hands-on training to support the implementation of the Basel Convention and the Ban Amendment as well as Minamata Convention (1 day) in two to three countries;</li> <li>3. Organization of a high-level visit by the Executive Secretary of the Basel, Rotterdam and Stockholm conventions in the participating countries, building on the national consultation and hands-on training;</li> <li>4. Preparation of a national impact assessment of economic, environmental, and social implications of the ratification of the Ban Amendment in the participating countries;</li> <li>5. Development of case studies on the successes and challenges in the ratification of the Ban Amendment;</li> <li>6. Holding webinars and online meetings;</li> <li>7. Organizing awareness-raising campaigns and side-events at relevant conferences and meetings; and</li> <li>8. Preparation of awareness raising materials including a publication showcasing experiences and translation, as appropriate.</li> </ol>			

<sup>1</sup> Two participating countries could be the Republic of Guinea and Central Africa Republic based on their request for technical assistance.

## RESULTS TO BE ACHIEVED

### Expected results:

1. Concrete steps towards ratification are undertaken in the participating countries;
2. Awareness is increased among decision makers and politicians about the Ban Amendment;
3. National capacities to implement the Basel Convention and its Ban Amendment are enhanced in two or three participating countries;
4. Case studies on the successes and challenges in the ratification of the Ban Amendment are developed and made available;
5. Publication showcasing experiences in ratification of the Ban Amendment is available for Basel Convention meetings; and
6. Economic, environmental, and social implications of ratification of the Basel Convention Ban Amendment are documented and available in support of any steps Parties take towards ratification.

### Indicator of success:

1. Case study on the successes and challenges in the ratification of the Ban Amendment are available;
2. Positive feedback from the participants attending the consultations and training;
3. Draft national impact assessments are developed.

### Means of verification:

1. Case studies are posted on the website;
2. Workshop reports are available;
3. COPs 13 pre-session documents and INF documents on the meeting documents website.

## PROJECT SUSTAINABILITY AND REPLICABILITY

The approach for developing and implementing this activity is supportive of the technical assistance programme. The regional centres will participate in the activities so that the training may be replicated by the regional centres at the regional and local levels. The activities will also establish and strengthen relations with local or regional stakeholders so that the regional centres may be better placed to support Parties served.

This activity will build on and take advantage of similar or related activities being undertaken by the Secretariat as well as other partners. Partners will also be able to both replicate the activities and use the outcomes of the workshops e.g. case studies and publication.

The project supports the implementation of SDG target 12.4 aiming to achieve the Environmentally Sound Management of chemicals and wastes throughout their life cycle, in accordance with agreed international frameworks. The activity would specifically address the needs of two or three developing countries that were Parties to the Basel Convention at the time of the adoption of the Ban Amendment. Interested stakeholders, including civil society organizations, industry, etc. could also contribute or take part in specific activities (e.g. webinars, national activities). These stakeholders could be identified in close cooperation with the national or regional authorities and the participating regional centres.

The activity will be implemented in taking into consideration ongoing activities and projects in these countries on early implementation of the Minamata Convention, supported by UNEP and UNIDO. Close cooperation with the Interim Secretariat of the Minamata Convention will also be maintained.

## GENDER MAINSTREAMING

Participation of both men and women will be encouraged at the workshops. At the training workshops, a session will be proposed related to gender considerations of transboundary movements of hazardous wastes, giving a special attention to the equal opportunities for men and women in decision-making.

## OUTREACH AND INFORMATION SHARING

Outreach activities will be integrated in the proposed activity. Information about the training and its results will be posted on the BRS website. Live webinars will allow open access to the training sessions. Webinar recordings will be available for download after the live webinar sessions.



Food and Agriculture  
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BASEL CONVENTION



ROTTERDAM CONVENTION



STOCKHOLM CONVENTION

BUDGET [USD] FOR 2016-2017	
STAFF PERSONNEL	136,800
CONTRACTUAL SERVICE	15,820
TRAVEL	40,000
EQUIPMENT, VEHICLES, FURNITURE	9,000
OTHER OPERATIONAL COSTS	6,000
GRANTS OUT	60,000
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>	<b>267,620</b>
<i>Programme Support Costs (PSC) 13%</i>	34,791
<b>TOTAL OPERATIONAL BUDGET</b>	<b>302,411</b>