



Food and Agriculture  
Organization of the  
United Nations



BASEL CONVENTION



ROTTERDAM CONVENTION



STOCKHOLM CONVENTION

## BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

### PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input checked="" type="checkbox"/> BC <input type="checkbox"/> RC <input type="checkbox"/> SC <input type="checkbox"/> SYN		Training and capacity building for the implementation of the Basel Convention	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Parties to the Basel Convention
Project start date: 01/01/2016		Project completion date: 31/12/2017	Total duration: 24 months
<b>LEGAL BASIS AND MANDATE</b>			
<p>PoW BC (14) Training and capacity building for the implementation of the Basel Convention at the regional level</p> <p>PoW SC (13) Methodology and tools for training and capacity-building</p> <p>Articles 4, 10 and 16 of the Basel Convention</p> <p>Decisions BC-12/1 Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention</p> <p>BC -12/2 Road map for action on the implementation of the Cartagena Declaration</p> <p>BC 12/4 Technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with mercury</p> <p>BC-12/8 National legislation, notifications, enforcement of the Convention and efforts to combat illegal traffic</p> <p>BC-12/9 Technical assistance</p> <p>BC-12/14 Environmental Network for Optimizing Regulatory Compliance on Illegal Traffic</p> <p>BC-12/25 Programme of work and proposed budget for the Basel Convention for the biennium 2016-2017</p> <p>Nairobi Declaration, Bali Declaration and Cartagena Declaration</p>			
<b>BACKGROUND INFORMATION AND PROJECT JUSTIFICATION</b>			
<p>This activity aims to keep competent authorities and focal points of the Basel Convention informed about the Convention and trained on best practices for the implementation of obligations under the Convention. The activity aims at maintaining regional networks of competent authorities to enhance cooperation and information sharing on the convention and on illegal traffic. The activity will focus on basic obligations such as the control procedure for hazardous and other wastes and the implementation of ESM at the national level. The needs assessment results show that the first need of Basel Convention Parties is to receive information and training on ESM of hazardous and other wastes. Parties will be provided assistance online and face to face on developing and reviewing overarching national hazardous waste management strategies and plans, applying tools and guidance, including those developed by the expert working group on the environmentally sound management (ESM) of hazardous and other wastes and the small intersessional working group on legal clarity, as appropriate, addressing specific waste streams through awareness raising activities, training and pilot activities.</p> <p>This activity will be implemented in close cooperation with the regional and coordinating centres and other partners in the regions, thereby facilitating the regional delivery. Partners can assist in building parties' capacities through execution of specific activities in identified areas.</p> <p>This activity is part of the programmatic approach of the technical assistance programme contained in the information document UNEP/CHW.12/INF/25-UNEP/FAO/RC/COP.7/INF/17-UNEP/POPS/COP.7/INF/16 and its components contribute to the delivery of the programme.</p> <p>The project will contribute to sustainable development and in particular to the achievement of Goal 12. Ensure sustainable consumption and production patterns and targets 12.4 and 12.5: by 2030, substantially reduce waste generation through prevention, reduction, recycling, and reuse.</p>			

### PROPOSED ACTIVITIES

1. Development of tools and methodologies:
  - (a) Development of 1 online training course (to be reproduced on memory sticks and to be used during interactive online training courses) on the Basel Convention and its implementation for new competent authorities. The course will focus on the two pillars of the Basel Convention: TBM of Hazardous and other wastes and on ESM of hazardous wastes, its implementation including BC-12 adopted technical guidelines;
  - (b) Webinars;
  - (c) Development of a module on mercury wastes based on the Technical Guidelines;
  - (d) Development of a video on the ESM of hazardous and other wastes.
  
2. Two Sub-regional and regional training activities 2 workshops for Basel Competent authorities, focal points and enforcement officers (BD). The workshops will focus on the following themes:
  - (a) Raising awareness of the ESM framework and ESM under the Basel Convention, disseminating the tools developed by the technical expert group on ESM;
  - (b) Disseminating the latest knowledge on inventories of hazardous and other wastes and promoting the updating of national hazardous waste management strategies and plans;
  - (c) The environmentally sound management of priority waste streams, such as mercury wastes, including prevention and minimization of hazardous and other wastes generation.
  
3. Activities addressing specific needs of parties identified and pilot testing technical guidelines and guidance (pilot projects) through the technical assistance programme: USD 100,000 (BD):
  - (a) Pilot projects for the updating of national hazardous waste management strategies and plans, including national inventories;
  - (b) Pilot projects on the environmentally sound management of priority hazardous and other waste streams, such as mercury wastes.

### RESULTS TO BE ACHIEVED

Competent authorities, focal points and other stakeholders are continuously trained and provided capacity-building activities for the implementation of the Basel Convention. Parties are provided with assistance on developing and reviewing overarching national hazardous waste management strategies and plans, applying tools and guidance, including those developed by the technical working group on the environmentally sound management (ESM) of hazardous and other wastes and the small intersessional working group on legal clarity, as appropriate, addressing specific waste streams through awareness raising activities, training and pilot activities.

Regional and coordinating centres are provided opportunities to deliver training under their mandate to Parties and other stakeholders in the regions, thereby facilitating the regional delivery. Partners can assist in building parties' capacities through execution of specific activities in identified areas.

The projects will take mainstreaming approach to link Basel Convention-related issues into development processes.

Countries that have communicated their needs with regards technical assistance to implement the Basel Convention and ESM at the national level are involved in the training activities. The first area in which Basel Convention Parties needed technical assistance support was ESM of hazardous and other wastes.

### PROJECT SUSTAINABILITY AND REPLICABILITY

Competent authorities require continuous training on their role and on the implementation of the Convention. The project supports the implementation of SDG target 12.4 aiming to achieve the ESM of chemicals and wastes throughout their life cycle, in accordance with agreed international frameworks. Enforcement of the provisions of the Basel Convention and control of illegal shipments and disposal reduces the amount of uncontrolled dumping of wastes and pollution of the environment which has negative impacts on human health and the environment.

### GENDER MAINSTREAMING

All activities under this project will take into consideration social issues and gender equality. Gender will be considered when specific activities are designed and during the implementation of activities and their evaluation. The secretariat will invite workshop funded participants balanced in gender. A basic gender analysis will be conducted for gender relevant activities as part of the design phase.

<b>OUTREACH AND INFORMATION SHARING</b>	
<p>The workshop training materials, the online training module and the case study will be made available to the public on the web site of the Basel Convention and will be linked to the JEU online course on disaster preparedness and prevention. The training materials and the workshop proceedings will be available on the web site of the Basel Convention.</p>	
<b>BUDGET [USD] FOR 2016-2017</b>	
STAFF PERSONNEL	207,600
CONTRACTUAL SERVICE	31,760
TRAVEL	25,000
EQUIPMENT, VEHICLES, FURNITURE	
OTHER OPERATIONAL COSTS	
GRANTS OUT	300,000
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>	<b>564,360</b>
<b>Programme Support Costs (PSC) 13%</b>	<b>73,367</b>
<b>TOTAL OPERATIONAL BUDGET</b>	<b>637,727</b>