



Food and Agriculture  
Organization of the  
United Nations



BASEL CONVENTION



ROTTERDAM CONVENTION



STOCKHOLM CONVENTION

## BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

### PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input checked="" type="checkbox"/> BC <input type="checkbox"/> RC <input type="checkbox"/> SC <input type="checkbox"/> SYN		Technical Guidelines on transboundary movements of E-waste: dissemination and collection of information to further develop the guidelines, enhancing Parties capacity to manage E-waste in an environmentally sound manner	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Countries in Asia: Japan, Malaysia, Cambodia, Indonesia, India and China: Latin America: Argentina, Uruguay, Colombia, Peru, Brazil, El Salvador, Mexico, Trinidad and Tobago, and other the Caribbean SIDS and in Africa: South Africa, Kenya, Tanzania, Uganda, Ethiopia, Cote d'Ivoire, Nigeria, Ghana, Egypt, Morocco, etc.
Project start date: 01/01/2016		Project completion date: 31/12/2017	
		Total duration: 24 months	
<b>LEGAL BASIS AND MANDATE</b>			
PoW20 and PoW 14 (BC) Decision BC-12/5 Technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non waste under the Basel Convention BC-12/9: Technical assistance			
<b>BACKGROUND INFORMATION AND PROJECT JUSTIFICATION</b>			
<p>Technical guidelines on Transboundary Movements (TBM) of E-waste and environmentally sound management (ESM) of E-waste.</p> <p>Following the COP12 the adoption on an interim basis of the technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non-waste under the Basel Convention (TBM guidelines), the Conference of the Parties, among others, requested the Secretariat to disseminate the guidelines to parties and other stakeholder and to collect information on their experiences in the implementation of the technical guidelines, and also of national standards, practices and regulatory frameworks regarding the transboundary movements of E-wastes and used electrical and electronic equipment.</p> <p>In addition, the Conference of the Parties at the twelfth meeting and at the eleventh meeting adopted a set of PACE technical guidelines on environmentally sound management of computing equipment and requested the Secretariat to disseminate them.</p> <p>This project aims at organizing regional forums of the duration of two days where stakeholders from electrical and electronic industry (OEM, recyclers, refurbishment, repair), Basel Convention focal points and competent authorities representing relevant ministries are invited to share their experience on the TBM of E-waste and used electrical and electronic equipment , the ESM of such waste as applied to TBM. The information collected will be useful for consideration by the OEWG and COP13 for their consideration of possible further work towards amending the technical guidelines on TBM of E-waste and possibly arriving to their final adoption at COP13. The sharing of experience will also contribute to the work on legal clarity with regards to the waste non-waste criteria, being mandated by the Parties as a follow up to the Swiss-Indonesian country-led initiative. After the regional forum, the Secretariat will organize a three-day workshop on ESM of E-waste to disseminate PACE and MPPI guidance documents and train the Parties on policy tools, incentives and public private partnerships to achieve the environmentally sound management of E-waste. It is proposed that the project will be implemented by the Secretariat in cooperation with Basel Convention Regional Centres.</p>			

Based on the sustainable results produced by the E-waste Africa Project, the proposed activity will benefit from the successful cooperation among the key stakeholders on E-waste issues at the regional and global levels. Such cooperation encompasses governments, Basel and Stockholm Convention regional centres, global and regional organizations and initiatives, such as IMPEL and STEP initiative, the private sector (including the original equipment manufacturers and recycling companies), academia, such as EMPA and Oko-Institute and non-governmental organizations.

The project will contribute to sustainable development goals and in particular to the achievement of Goal 12. Ensure sustainable consumption and production patterns and targets 12.5: by 2030, substantially reduce waste generation through prevention, reduction, recycling, and reuse.

#### PROPOSED ACTIVITIES

1. 3 stakeholder forums of the duration of two days in: Asia, Latin America and the Caribbean and Africa;
2. Webinars and online training sessions;
3. Web based information toolkit on TBM of E-wastes;
4. Two workshops on ESM of E-waste in Asia and Latin America;
5. Technical assistance to parties needing support in the implementation of the TBM guidelines on E-waste and on ESM of E-waste.

#### RESULTS TO BE ACHIEVED

##### Expected results:

1. Raised awareness of the TBM guidelines at the regional level;
2. More parties that are developing and with economies in transition are involved in further developing the technical guidelines under the Basel Convention;
3. Better awareness and understanding by Parties involved in the activity ESM and transboundary movements of E-wastes, specific policy and training tools, technological solutions, cleaner technology to support decision making, policy making and financial schemes;
4. Improved guidance and guidelines documents based on the lessons learned and hands-on application through the follow-up activities;
5. Strengthened technical expertise of governmental officials to manage E-wastes in the environmentally sound manner;
6. Increased number of Parties that have developing national E-waste inventories and policies for implementation of the Basel Convention; and
7. Participants are informed of the challenges in enforcing the Basel Convention with regard to E-wastes - for instance as they relate to the national coordination and international cooperation, the identification and classification of hazardous wastes and other wastes and of possible ways to overcome these.

##### Indicator of success:

1. At least 60 Parties will receive information the TBM guidelines and share experience on ESM and transboundary movements of E-wastes;
2. 2 additional Parties become members of the SIWG on E-waste;
3. At least 4 follow up activities are organized in cooperation with Regional Centres in parties needing assistance.

##### Means of verification:

Reports of the workshops, reports of the SIWG, project implementation reports.

#### PROJECT SUSTAINABILITY AND REPLICABILITY

The Secretariat will publish on the website best practices, information collected on existing legislation and guidelines on E-waste and criteria adopted by countries to distinguish between waste and non-waste. Upon request of Parties, the Secretariat will provide technical assistance in cooperation with partners and regional centres to support the implementation of the guidelines, within available resources. Also, the Secretariat through the partnership programme under the Basel Convention will help Parties in need of assistance to liaise with partner agencies that are implementing projects on E-wastes such as UNIDO and other GEF implementing agencies.

#### GENDER MAINSTREAMING

All activities under this project will take into consideration social issues and gender equality. Gender will be considered when specific activities are designed and during the implementation of activities and their evaluation. A basic gender analysis will be conducted for gender relevant activities as part of the design phase. The Secretariat will ensure that the funded participants are balanced in gender.

<b>OUTREACH AND INFORMATION SHARING</b>	
The information collected will be shared on the website of the Basel Convention.	
<b>BUDGET [USD] FOR 2016-2017</b>	
STAFF PERSONNEL	211,200
CONTRACTUAL SERVICE	6,070
TRAVEL	50,000
EQUIPMENT, VEHICLES, FURNITURE	-
OTHER OPERATIONAL COSTS	-
GRANTS OUT	396,000
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>	<b>663,270</b>
<i>Programme Support Costs (PSC) 13%</i>	<i>86,225</i>
<b>TOTAL OPERATIONAL BUDGET</b>	<b>749,495</b>