



Food and Agriculture  
Organization of the  
United Nations



BASEL CONVENTION



ROTTERDAM CONVENTION



STOCKHOLM CONVENTION

## BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

### PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input checked="" type="checkbox"/> BC <input type="checkbox"/> RC <input type="checkbox"/> SC <input type="checkbox"/> SYN		Coordinate and provide support to parties in follow-up to the country-led initiative on environmentally sound management (ESM)	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Global
Project start date: 01/01/2016		Project completion date: 31/12/2017	Total duration: 24 months
<b>LEGAL BASIS AND MANDATE</b>			
<p>Decision BC/12-1: Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention (Section II on developing guidelines for environmentally sound management)</p> <p>Activity 34 (BC): Coordination and provision of support to parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity</p> <p>Activity 14 (BC): Training and capacity building activities to enhance the implementation of the Basel Convention at the regional level (for developing guidelines on environmentally sound management)</p>			
<b>BACKGROUND INFORMATION AND PROJECT JUSTIFICATION</b>			
<p>By decision BC-11/1, the COP adopted the framework for the environmentally sound management (ESM) of hazardous wastes and other wastes. At this time, an expert working group was also mandated to further elaborate and implement actions on initial short-term work items as listed in annex II to the decision and to develop a work programme for additional priorities and key work items and actions for the implementation of ESM. At COP-12, parties adopted decision BC-12/1, Section B on developing guidelines for environmentally sound management. By this decision, the COP adopted the work programme of the expert working group, extended the mandate of the group and requested it develop activities, subject to the availability of resources, to implement its work programme.</p> <p>This project component will support the expert working group on ESM in undertaking its work during the 2016-17 biennium through face-to-face meetings, retention of consultants to undertake activities as decided by the Group, development and implementation of pilot projects and implementation of additional activities in the work programme of the Group.</p> <p>This activity will contribute to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 12 on ensuring sustainable consumption and production patterns. The activity also catalyses cooperation among parties and relevant stakeholders, including civil society and private sector, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributes to the integrated approach to financing options for chemicals and wastes.</p>			
<b>PROPOSED ACTIVITIES</b>			
<p>The activities for which funding is sought in the area of developing guidelines for environmentally sound management fall into four broad categories:</p> <p><b>Meetings of the Group</b>          Funding for two face-to-face meetings of the expert working group on ESM. The funding would support the organization of the meetings and the participation of 16 members from developing countries and countries with economies in transition (travel). The location of the meetings would be decided by the expert working group.</p> <p><b>Travel on official business for staff</b>          Travel of at least one BRS staff member to support the physical meetings of the expert working group.</p> <p><b>Consultants</b>          Funding for the engagement of consultants to undertake work to support implementation of the ESM</p>			

framework as outlined in the work programme of the expert working group (please see below for a list of activities contained in the work programme).

#### **Sub-contractual services (Grants out)**

Funding for sub-contractual services (e.g. funding agreements with BCRCs) to undertake work to support implementation of the ESM framework as outlined in the work programme of the expert working group (please see below for a list of activities contained in the work programme).

Activities in the work programme of the expert working group (adopted by COP-12):

1. Development of manuals and fact sheets
2. Development of guidance on prevention and minimization
3. Development of training programme models
4. Development of an Internet portal
5. Development of a guide for self-assessment of national capacity
6. Development of certification schemes to support ESM
7. Promotion of ESM through tools
8. Development of an analysis of benefits related to implementation of ESM
9. Development of pilot projects
10. Exchange of information and experiences
11. Promotion of ESM in the informal sector

The expert working group will decide on the prioritisation of these activities and decide on the modalities for their implementation – for example, through the retention of consultants, through legal agreements with BCRCs to undertake the work or by requesting the Secretariat to carry out certain activities (such as technical assistance and capacity building activities which would be conducted under Activity 14).

#### **RESULTS TO BE ACHIEVED**

##### **Expected results:**

1. The results to be achieved from this project component are the promotion and implementation of ESM through the implementation of the work programme of the expert working group (please see section on “Proposed activities”). The expert working group will not only develop tools to promote and implement ESM (for example, practical manuals, guidance and training programmes) but will also implement pilot projects to encourage their dissemination. The expert working group will decide at its meetings (both electronic and face-to-face) the prioritization of these activities.
2. The Group may also direct the Secretariat to implement capacity building and technical assistance activities on ESM to support its work, such as training sessions and webinars, which will be implemented under Activity 14.

##### **Indicators of success:**

1. Tools for the promotion and implementation of ESM that are developed by the expert working group are disseminated widely and used by parties and others;
2. Pilot projects testing the ESM tools are developed, implemented and positively evaluated.

##### **Means of verification:**

1. Report of the expert working group on implementation of its work programme to COP-13;
2. Reports by parties on the implementation of ESM.

#### **PROJECT SUSTAINABILITY AND REPLICABILITY**

The basis of this project component is sustainable as the activities to promote and implement ESM will be decided on by the expert working group which comprises party members from each of the five UN regions. Additionally, the close working relationship of the Group with its observers, who represent BCRCs, industry and civil society, ensures that the activities developed have a sound basis and have commitment from all stakeholder groups for their implementation.

#### **GENDER MAINSTREAMING**

In line with the BRS Gender Action Plan, the Secretariat will, where appropriate, encourage governments and observers to take into account gender-related aspects in nominating representatives to the meetings of the working group, and in other relevant activities where suitable. The Secretariat will also advise, where appropriate, any potential gender impacts of matters considered, in particular as to vulnerable groups who may suffer greater exposure to and harm from hazardous wastes.

<b>OUTREACH AND INFORMATION SHARING</b>	
<p>Information about the activities implemented under the work programme of the expert working group will be disseminated through: briefings with the Permanent Missions in Geneva, posting on the website of the Convention, communications between the expert working group members and observers, communications and requests for information sent by the Group to parties and others, consultations with the OEWG when provided for in the work programme, press releases when relevant, various presentations/speeches by the Secretariat on the work of the expert working group, webinars, reports back to the COP and publication of practical manuals, fact sheets, guidance documents and other materials developed by the Group and adopted by the COP.</p>	
<b>BUDGET [USD] FOR 2016-2017</b>	
STAFF PERSONNEL	100,000
CONTRACTUAL SERVICE	50,800
TRAVEL	118,640
EQUIPMENT, VEHICLES, FURNITURE	-
OTHER OPERATIONAL COSTS	-
GRANTS OUT	100,000
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>	<b>369,440</b>
<i>Programme Support Costs (PSC) 13%</i>	<i>48,027</i>
<b>TOTAL OPERATIONAL BUDGET</b>	<b>417,467</b>