



Food and Agriculture  
Organization of the  
United Nations



BASEL CONVENTION



ROTTERDAM CONVENTION



STOCKHOLM CONVENTION

# BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

## PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input checked="" type="checkbox"/> BC <input type="checkbox"/> RC <input type="checkbox"/> SC <input type="checkbox"/> SYN		Coordinate and provide support to parties in follow-up to the provision of further legal clarity	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Global
Project start date: 01/01/2016		Project completion date: 31/12/2017	Total duration: 24 months
<b>LEGAL BASIS AND MANDATE</b>			
<p>Decision BC/12-1: Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention(Section III on providing further legal clarity)</p> <p>Activity 34 (BC): Coordination and provision of support to parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity</p>			
<b>BACKGROUND INFORMATION AND PROJECT JUSTIFICATION</b>			
<p>The decision on the country-led initiative (CLI) takes a cross-cutting approach to supporting and ensuring effective implementation and enforcement of the Basel Convention. It achieves benefits for parties on multiple fronts, including the provision of legal clarity.</p> <p>A small intersessional working group (SIWG) was established by the eleventh meeting of the Conference of the Parties by decision BC-11/1 to continue the work towards clarifying terminology used in the Convention, including through the development of a glossary of terms including explanations.</p> <p>By decision BC-12/1, the SIWG was mandated by the COP to continue its work, including, subject to the availability of resources, through a face-to-face meeting, and to prepare a revised version of the draft glossary of terms including explanations and to submit it to the Secretariat five months before the tenth meeting of the Open-ended Working Group. The COP further invited the Open-ended Working Group at its tenth meeting to finalize the glossary and related explanations and to prepare a draft decision on these matters for consideration and possible adoption by the Conference of the Parties at its thirteenth meeting. In its decision, COP-12 also initiated a process towards the consistent interpretation of terminology by reviewing Annexes I, III and IV and related aspects in Annex III to the Convention. This process is party and Secretariat-driven, and will be considered by the tenth meeting of the Open-ended Working Group.</p> <p>This activity will contribute to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns.</p>			
<b>PROPOSED ACTIVITIES</b>			
<b>Meeting/s of the SIWG</b>			
The Secretariat will organize one or two face-to-face meetings of the SIWG with a view to allowing the group to complete its mandate as directed by COP-12.			
<b>Review of Annexes I, III and IV and related aspects in Annex III to the Convention</b>			
The Secretariat will retain a consultant to assist with the review of Annexes I, III and IV and related aspects in Annex III to the Convention, for the consideration of OEWG-10. Tasks will include assisting the Secretariat to compile the views received from parties and others in relation to the review of Annexes I, III and IV and related aspects in Annex IX to the Convention and to submit them to the Open-ended Working Group at its tenth meeting; as well as to assist the Secretariat or the lead country to assess the views received from parties and others on options identified in section II.A of annex II to document UNEP/CHW.12/INF/52 and to prepare recommendations thereon for the consideration of the Open-ended Working Group at its tenth meeting.			

RESULTS TO BE ACHIEVED	
<p><b>Expected results:</b></p> <ol style="list-style-type: none"> <li><b>Meeting/s of the SIWG:</b> One or two face-to-face meetings of the SIWG are organized, participants eligible for funding received the financial support to allow their participation.</li> <li><b>Review of Annexes I, III and IV and related aspects in Annex III to the Convention:</b> The views received from parties and others in relation to the review of Annexes I, III and IV and related aspects in Annex IX to the Convention are compiled and submitted to the OEWG-10. The views received from parties and others on options identified in section II.A of annex II to document UNEP/CHW.12/INF/52 are assessed and recommendations thereon are prepared for the consideration of the Open-ended Working Group at its tenth meeting.</li> </ol> <p><b>Indicator of success:</b></p> <ol style="list-style-type: none"> <li><b>Meeting/s of the SIWG :</b> the meeting/s of the SIWG is/are held;</li> <li><b>Review of Annexes I, III and IV and related aspects in Annex III to the Convention:</b> meeting documents prepared for OEWG-10 consideration.</li> </ol> <p><b>Means of verification:</b></p> <ol style="list-style-type: none"> <li><b>Meeting/s of the SIWG :</b> Report of the meeting/s made available to OEWG-10 and, as appropriate, COP-13;</li> <li><b>Review of Annexes I, III and IV and related aspects in Annex III to the Convention:</b> meetings documents available on the website of the Basel Convention.</li> </ol>	
PROJECT SUSTAINABILITY AND REPLICABILITY	
<p>The activities are part of a continuum of activities initiated at COP-10 that focus on critical issues pertaining to the effective implementation of the Basel Convention. The activities are mandated by the COP and thus benefit from the support of all parties. They will be undertaken with the involvement of all parties wishing to be involved (whether through designated representatives in the SIWG or by providing comments on the review of the annexes) and any outcome will be submitted for endorsement to the COP, thereby ensuring global support.</p>	
GENDER MAINSTREAMING	
<p>In line with the BRS Gender Action Plan, the Secretariat will, where appropriate, encourage governments and observers to take into account gender-related aspects in nominating representatives to the meetings of the SIWG, and in other relevant activities where suitable. The Secretariat will also advise, where appropriate, any potential gender impacts of matters considered, in particular as to vulnerable groups who may suffer greater exposure to and harm from hazardous wastes.</p>	
OUTREACH AND INFORMATION SHARING	
<p>Information about the activities implemented under this programme will be disseminated through: briefings with the Permanent Missions in Geneva, posting on the website of the Convention, communications between the SIWG members and observers, one or two meetings of the SIWG, communications and requests for information sent to parties, press releases when relevant, various presentations/speeches by the Secretariat on the work on legal clarity, webinars, reports back to the COP and publication of the glossary, adopted by the COP. In addition, technical assistance activities will be undertaken to raise awareness of parties on the draft glossary of terms, in particular the distinction between waste and non-waste (see concept note for Activity 14 (BC) on the transboundary movement of e-waste).</p>	
BUDGET [USD] FOR 2016-2017	
STAFF PERSONNEL	71,040
CONTRACTUAL SERVICE	370
TRAVEL	52,500
EQUIPMENT, VEHICLES, FURNITURE	-
OTHER OPERATIONAL COSTS	-
GRANTS OUT	-
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>	<b>123,910</b>
<i>Programme Support Costs (PSC) 13%</i>	<i>16,108</i>
<b>TOTAL OPERATIONAL BUDGET</b>	<b>140,018</b>