



BASEL / ROTTERDAM / STOCKHOLM
C O N V E N T I O N S

Briefing on the outcomes of the 2023 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions

Briefing webinars

Secretariat of the Basel, Rotterdam and Stockholm Conventions

BRS 2023 COPs

Basel Convention **COP-16**

Rotterdam Convention **COP-11**

Stockholm Convention **COP-11**

“Accelerating Action: Targets for the Sound Management of Chemicals and Waste”

1-12 May 2023, Geneva, Switzerland



COPs

BASEL / ROTTERDAM / STOCKHOLM
CONVENTIONS

ACCELERATING ACTION : TARGETS FOR
THE SOUND MANAGEMENT OF CHEMICALS
AND WASTE

1-12 MAY 2023



2023 BRS COPs



BASEL CONVENTION COP-16

Main outcomes

- **Adoption of Technical Guidelines:**

- Technical guidelines on **environmentally sound management (ESM) of plastic wastes**
- Technical guidelines on **ESM of POPs wastes**
- Technical guidelines on transboundary movements of **electronic and electrical waste and used electrical and electronic equipment** (on interim basis)
- Further work to take place on: Technical guidelines on **waste lead-acid batteries, other batteries and pneumatic tyres**

- **Improving the PIC procedure:**

- Small intersessional working group (SIWG) to improve the PIC procedure
- Extended the work on electronic approaches to notification and movement documents

- **Strategic framework:**

- SIWG to develop a new strategic framework



Main outcomes (cont.)

- **Further legal clarity:**
 - Extended work on review of annexes aimed at further clarifying the disposal operations and hazardous wastes covered by the Convention
- **Implementation and Compliance Committee:**
 - Adopted recommendations to improve Parties' compliance with a range of obligations, including progress achieved to reach national reporting targets
 - Adopted POW for 2024-2025, including work to integrate the Convention's implementation in development frameworks
- **Partnership Programme:**
 - Reviewed progress and extended the work of the **Partnership for Action on Challenges relating to E-waste**, the **Environmental Network for Optimizing Regulatory Compliance on Illegal Traffic (ENFORCE)**, the **Partnership on Plastic Wastes** and **Household wastes Partnership (HHW)**
 - HHW to revise the overall guidance document on ESM of household waste
 - Subscription fee system withdrawn



Main outcomes (cont.)

- **Cooperation with the World Customs Organization (WCO):**
 - Information invited to assist the Secretariat in facilitating the inclusion of BC wastes in the Harmonized System (HS) Codes, as per decision BC-14/9
 - Secretariat to continue to develop proposals for amending HS Codes and facilitating review of proposals, taking into account information received
- **National reporting:**
 - Importance of information provided in national reports for measuring progress towards SDGs
 - OEWG-14 to consider wastes stream for which guidance on inventory would be useful, based on Parties' proposals
- **E-wastes amendments:**
 - Secretariat to prepare draft updates to 11 guidelines, factsheets, manuals to reflect adjustments consequential to adopted e-wastes amendments





ROTTERDAM CONVENTION

COP-11

Main outcomes

Listing of chemicals in Annex III

- The COP amended Annex III to list:
 - **Terbufos (pesticide)**
- Amendment will enter into force for all Parties on 22 October 2023
- Chemicals listed in Annex III are subject to the PIC procedure

Enhancing the effectiveness of the Convention

- Work was launched to enhance the Convention's effectiveness by addressing the impacts regarding the listing of chemicals in Annex III and its implementation
- Proposal to amend the Convention was not accepted



Main outcomes (cont.)

- **Compliance Committee**

- Adopted the **programme of work of the Committee** for 2024-2025
- Invited **Parties to make specific submissions** to the Compliance Committee
- Encouraged Parties to submit responses to its questionnaire on **issues faced by Parties in relation to notifications of final regulatory action**

- **Implementation of the Convention**

- Encouraged Parties to **ensure the effective operation** of the Convention
- Encouraged Parties to provide the Secretariat with **information** that might assist other Parties to **prepare and notify final regulatory action**





STOCKHOLM CONVENTION

COP-11



Main outcomes

- **Adoption of Procedures and Mechanisms on Compliance**
 - To assist Parties in fulfilling their obligations under the Convention (systemic issues of interest to all Parties and challenges faced by individual Parties)
 - Compliance Committee established; members to be elected at COP-12
- **Launch of Report on the 2nd Effectiveness Evaluation**
 - Convention provides an effective and dynamic framework for regulating POPs throughout their life cycle; progress has occurred since the first evaluation in 2017
- **Adopted the Global Monitoring Results**
 - Regulations targeting POPs have succeeded in reducing levels of POPs in humans and in the environment
- **Endorsement of a New Regional Centre**
 - SCRC Trinidad and Tobago

The background of the slide features a blue-tinted image of laboratory glassware, including a test tube with a pipette tip and several beakers. Overlaid on this is a white hexagonal chemical structure, resembling a honeycomb lattice or a molecular framework. The right side of the slide is a white area containing text.

Main outcomes

Listing of chemicals in Annex A

- The COP amended Annex A to list:
 - **Methoxychlor without specific exemptions**
 - **Dechlorane Plus with specific exemptions**
 - **UV-328 with specific exemptions**
- Chemicals listed in Annex A may no longer be produced, used, imported and exported by Parties to the Convention

Labelling

- The COP requested the POPs Review Committee to make recommendations regarding options for identifying POPs in stockpiles, products and articles in use and in wastes

Took stock of progress in eliminating PCBs

- The COP urged Parties to meet their obligations towards 2025 and 2028 deadlines, while recognizing specific needs and challenges of developing countries



DECISIONS ON JOINT ISSUES

Main outcomes

- **Strengthened mandate for international cooperation** to address the triple planetary crisis including with UNEP (e.g. new plastics treaty, science-policy panel, 10-YFP on SCP, SAICM and UNEA-6), Minamata Convention, CBD, MP, UNFCCC and IOMC organizations
- New activities to **combat illegal traffic and trade in hazardous chemicals and wastes**
- Explore the development of a **non-State actor resource mobilization strategy**
- Conventions' **budgets/POWs** for 2024-25 approved with an approximate 4% increase
- Adoption of amendments to the MoUs between UNEP, FAO (for RC) and the COPs
- Agreement to hold **next meetings of the Conferences of the Parties** to the Basel, Rotterdam and Stockholm conventions from 28 April to 9 May 2025 in Geneva with a **high-level segment**





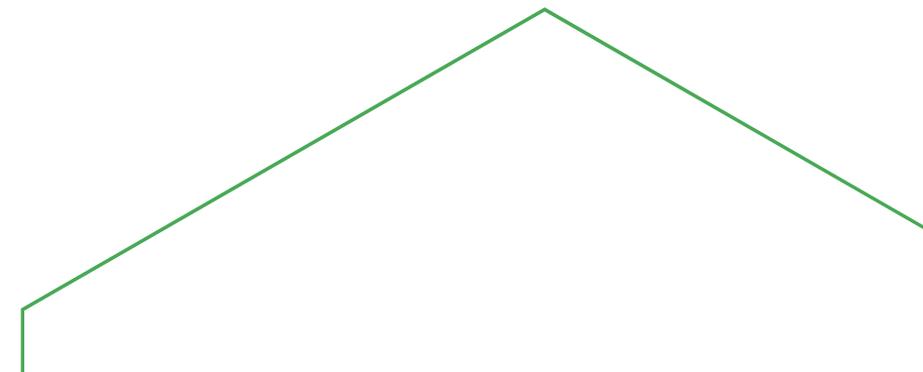
2023 COPS were gaveled



#GlobalAgreements for a #HealthyPlanet



FOLLOW-UP TO THE COPS



Call for nominations / information – Basel

Calls for nominations	Timelines for submission	Focal point	
Strategic framework	<p>Parties and observers to nominate additional members to the SIWG with specific knowledge and expertise in the evaluation of strategic plans, programmes, treaties or the national implementation of the Convention</p> <p>Parties to consider serving as lead countries</p>	<p>30 September 2023</p>	<p>andrea.lechner@un.org</p>
Work to improve the prior informed consent (PIC) procedure	<p>Parties and observers to nominate experts with relevant knowledge of and expertise in the implementation of the PIC procedure to participate in the SIWG</p> <p>Parties to consider serving as lead countries</p> <p>Parties and others to submit information on challenges in the implementation of the PIC procedure and on best practices, possible approaches and initiatives to improve its functioning</p>	<p>30 September 2023</p>	<p>rina.kuusipalo@un.org</p>
Technical guidelines on ESM of POPs wastes	<p>Parties and observers to submit comments on the low POPs content values included in the general technical guidelines and in other technical guidelines, as appropriate; and examples of waste streams with low POPs content values above or below the values applied at the national level, along with related information, including on studies, taking into account relevant information available in the Stockholm Convention</p>	<p>30 October 2023</p>	<p>melisa.lim@un.org</p>
	<p>Parties to consider taking the lead in updating or preparing the following guidelines:</p> <ul style="list-style-type: none"> (a) General technical guidelines (b) Technical guidelines on ESM of brominated diphenyl ethers to include Dechlorane Plus (c) Technical guidelines on ESM of POPs pesticides to include methoxychlor (d) New technical guidelines on UV-328 	<p>31 August 2023</p>	<p>melisa.lim@un.org</p>
Technical guidelines on e-wastes	<p>Parties and others to submit results of the use and/or testing of the technical guidelines</p>	<p>30 April 2024</p>	<p>carla.valle@un.org</p>

Call for nominations / information – Basel

Calls for nominations		Timelines for submission	Focal point
Technical guidelines on ESM of waste lead acid batteries and other batteries	Parties and others to nominate additional experts to participate in the SIWG	30 September 2023	francesca.cenni@un.org
	Parties and others to submit comments on the draft updated technical guidelines		
Technical guidelines on the ESM of used and waste pneumatic tyres	Parties and others to nominate additional experts to participate in the SIWG	30 September 2023	melisa.lim@un.org
Cooperation with the World Customs Organization on the Harmonized Commodity Description and Coding System	Parties and others to submit information with a view to assisting it in facilitating the inclusion of waste covered by the Basel Convention in the Harmonized Commodity Description and Coding System pursuant to decision BC-14/9	To be specified in future calls for information	melisa.lim@un.org
National reporting	Parties to submit information on the types of waste streams for which additional practical guidance on the development of inventories would be useful	31 December 2023	melisa.lim@un.org
Electronic approaches to the notification and movement documents	Parties to consider serving as lead countries	31 July 2023	carla.valle@un.org
Further consideration of plastic waste	Parties and others to submit comments on the further activities referred to in documents UNEP/CHW.16/INF/18 and UNEP/CHW.16/INF/62	28 February 2024	kei.ohno@un.org
Waste containing nanomaterials	Parties and observers to submit any new information related to activities aimed at addressing issues related to waste containing nanomaterials, including case studies about and best practices	31 December 2023	agustin.harte@un.org

Call for nominations / information – Basel

Calls for nominations	Timelines for submission	Focal point	
Committee Administering the Mechanism for Promoting Implementation and Compliance	Parties that appear to have transmitted an incomplete national report for 2018 or 2019 to review the draft classifications of compliance performance and to provide feedback with a view to strengthening overall implementation of and compliance with paragraph 3 of Article 13	To be specified in separate follow up letter	juliette.kohler@un.org.
	Parties, resident coordinators and UN country teams to reply to the questionnaire on the extent to which Parties with national reporting needs are included in their cooperation frameworks (online questionnaire for Parties and for RCs)	30 June 2023	
	BCRCs and other organizations to reply to the online questionnaire on their activities aimed at assisting Parties in transmitting their national reports	14 July 2023	
	BCRCs and other organizations to reply to the questionnaire on activities aimed at assisting Parties in enhancing coordination between competent authorities and enforcement entities and activities aimed at disseminating guidance and tools to prevent and combat illegal traffic (using the online questionnaire) Parties to reply to the questionnaire on their activities to enhance coordination and increase the flow of information between their competent authorities and enforcement entities, and between themselves and the Secretariat	14 July 2023	
	The 110 Parties that transmitted a report for 2019 to review the draft classification of Parties' compliance performance in relation to the obligations set out in Article 9 of the Convention and to provide feedback to strengthen progress towards implementation of and compliance with Article 9	1 September 2023	
	Parties that have yet to undertake a self-review of their legislation to do so and to provide, where applicable, information on their legislative implementation of the Ban Amendment, plastic waste amendments and e-waste amendments	TBD	
	Parties that have yet to indicate whether they have national legislation implementing the Convention or have already indicated that they do not have such legislation to engage with the Committee	TBD	
	BCRCs and other organizations to reply to the questionnaire on their activities aimed at assisting Parties in developing legal frameworks	14 July 2023	

Call for nominations / information – Basel

Calls for nominations		Timelines for submission	Focal point
National legislation, notifications, enforcement of the Convention and efforts to combat illegal traffic	Parties to submit information on best practices in preventing and combating illegal traffic and to report cases of illegal traffic (using the prescribed form or table 9 of the format for national reporting)	As necessary	rina.kuusipalo@un.org
	Parties that have not yet provided the Secretariat with information on national definitions of hazardous wastes, or any of the information on import or export restrictions or information on prohibitions, to do so and to report, through their designated focal points, any subsequent significant change in that information (using the standardized form or the format for national reporting)	As soon as possible	
Partnership for Action on Challenges relating to E-waste	Parties and others to nominate members to the working group	As soon as possible	Francesca.cenni@un.org
Environmental Network for Optimizing Regulatory Compliance on Illegal Traffic	Entities with a specific mandate to deliver implementation/enforcement capacity-building activities that could assist Parties in preventing and combating illegal traffic to consider sending a request to the Chair regarding admission as members of the Network	As appropriate	tatiana.terekhova@un.org
Household Waste Partnership	Parties and others to submit comments on the revised draft overall guidance document on the environmentally sound management of household waste (UNEP/CHW.16/19/Add.2)	30 September 2023	susan.wingfield@un.org , Jost.dittkrist@un.org
Partnership on Plastic Waste	Parties and others to nominate members to the working group	As soon as possible	susan.wingfield@un.org ; melisa.lim@un.org

Call for information – Rotterdam

Calls for information		Timelines for submission	Focal point
Status of implementation	Parties to communicate national definitions for the term “pesticide” to the Secretariat	As soon as possible	christine.fuell@fao.org
	Parties to provide information that might assist other Parties to prepare and notify final regulatory actions, including scientific and technical information relevant to risk evaluation and decision-making on hazardous chemicals and pesticides	As soon as possible	aleksandar.mihajlovski@fao.org
	Parties to provide information on the implementation of Articles 11, 12, 13 and 14 (questionnaire to be made available)	TBD	suman.sharma@un.org
	Parties, non-Parties, industry, civil society and other stakeholders to provide data on the international trade in chemicals listed or recommended for listing in Annex III to the Rotterdam Convention	As soon as possible	andrea.lechner@un.org
Enhancing the effectiveness of the Rotterdam Convention by addressing impacts regarding the listing of chemicals in Annex III and its implementation	Parties and observers to provide information on the potential direct and indirect trade and socio-economic impacts, as well as the financial implications, caused or anticipated by the listing of chemicals in Annex III, including the costs of inaction	30 June 2024	andrea.lechner@un.org
	Parties and observers to provide information on the benefits and challenges in introducing alternatives to chemicals recommended for listing, and on actions to address such challenges		
	Parties and observers to provide information on challenges in implementing legislative or administrative measures for the sound management of chemicals recommended for listing and their alternatives, including challenges relating to technological and scientific capability		

Call for information – Rotterdam

Calls for information	Timelines for submission	Focal point
Compliance Committee	Parties that believe that, despite their best efforts, they are, or will be, unable to comply with certain obligations under the Rotterdam Convention are to consider making submissions to the Compliance Committee pursuant to paragraph 12 of Annex VII to the Convention.	As soon as possible
	Parties to submit texts of national legislation or other measures that they have adopted to implement and enforce the Convention, specifically those texts related to paragraphs 1 and 2 of Article 5 and Article 10 of the Convention	As soon as possible
	Parties to submit responses to the questionnaire for the identification of issues faced by Parties in relation to notifications of final regulatory action, so as to inform the work of the Compliance Committee (http://www.pic.int/tabid/9525/.)	30 June 2023
yvonne.ewang-sanvincent@un.org		

Call for nominations / information – Stockholm

Calls for information		Timelines for submission	Focal point
Exemptions	Parties listed in the register for specific exemptions for decabromodiphenyl ether to provide to the Secretariat additional information to justify the need for the exemptions for submission to COP-12	31 December 2024	rina.kuusipalo@un.org ; kei.ohno@un.org
DDT	Parties currently in the DDT register to review their needs and to transmit an updated notification of intention to produce and/or use DDT or of withdrawal from the register	31 December 2023	lina.fortelius@un.org
	Parties that have identified DDT stockpiles to provide information related to those stockpiles to the Secretariat through their national reports under Article 15 of the Convention	As soon as possible	
	Parties to consider putting forward a proposal, for consideration by COP-12, to amend the interval of the submission of information on DDT through the DDT questionnaire, to every two years to enable the consideration of the matter by the COP at every ordinary meeting	As soon as possible	
PCB	Parties and observers to provide comments on the guidance for the development of inventories of PCB and analysis of PCB; the draft strategy for Parties to meet the 2025 and 2028 goals of the Convention; and other available guidance relevant to PCB	31 October 2023	agustin.harte@un.org
	Parties to report to the COP on the measures taken to implement part II of Annex A to the Convention, including quantitative information on PCB, in their national reports pursuant to Article 15	As soon as possible if not yet reported	
	Parties to consider serving as lead country of the SIWG	31 August 2023	
	Parties and observers to nominate experts, taking due consideration of regional and gender balance and of relevant expertise, to participate in the SIWG	31 August 2023	
Evaluation of PFOS, its salts and PFOSF	Parties using insect baits with sulfloramid (CAS No. 4151- 50- 2) as an active ingredient for the control of leaf-cutting ants from <i>Atta</i> spp. and <i>Acromyrmex</i> spp. for agricultural use to register for the acceptable purpose	As soon as possible	kei.ohno@un.org

Call for information – Stockholm

Calls for information		Timelines for submission	Focal point
BAT and BEP	Parties and others to use the guidelines and guidance on BAT and BEP when applying measures to minimize and eliminate releases and to provide feedback on their usefulness	As soon as possible	alain.wittig@un.org
	Parties and others to submit short case studies on remediation of sites contaminated with POPs to be considered in further developing the draft guidance on the identification and management of contaminated sites	15 September 2023	
	Parties and others to submit information on the production process and use of short-chain chlorinated paraffins, including products containing short-chain chlorinated paraffins	15 September 2023	
	Parties and others to nominate experts with relevant expertise to be added to the joint Toolkit and BAT and BEP expert roster	As soon as possible	
	Parties and others to contribute to the further review and update of the guidelines and guidance by submitting information on the successful application of BAT and BEP and other technical information	15 September 2023	
Implementation plans	Parties that have not developed or updated their implementation plans (NIPs) to enhance their efforts to do so and transmit their plans as soon as possible	As soon as possible.	kei.ohno@un.org
	Parties and observers to submit comments on the guidance and the document setting out a draft global approach to the review and updating of NIPs (UNEP/POPS/COP.11/INF/23)	31 October 2023	
	Appropriate bodies of the Basel Convention to review the waste related aspects of the guidance on inventories and alternatives to the newly listed POPs and other guidance available to support the development and updating of NIPs and to submit comments thereon	31 October 2023	
	Parties to pilot test the electronic templates for the reporting of quantitative and qualitative information contained in NIPs in a manner harmonized with reporting under Article 15;	31 October 2023	
	Parties to submit comments on the electronic templates		

Call for information – Stockholm

Calls for information	Timelines for submission	Focal point
<p>Operation of the Persistent Organic Pollutants Review Committee</p>	<p>As soon as possible</p>	<p>kei.ohno@un.org</p>
<p>Parties and observers to submit to any further information regarding the identification of substances covered by the listing of perfluorooctanoic acid (PFOA), its salts and PFOA-related compounds and by the listing of perfluorohexane sulfonic acid (PFHxS), its salts and PFHxS-related compounds for the further updating the indicative lists of those chemicals</p> <p>Parties and observers to submit the information specified in Annexes E and F to the Convention and to review and provide to the Committee in a timely manner, technical comments on draft risk profiles and draft risk management evaluations and, in doing so, where relevant, to involve experts working at the national level on activities related to the Basel Convention</p>		
<p>POPs in stockpiles, products and article in use and waste</p>	<p>TBD</p>	<p>Kei.ohno@un.org</p>
<p>Parties and observers to submit information on experiences with, and challenges encountered in, developing and implementing appropriate strategies for identifying POPs in stockpiles, products and articles in use and in wastes and other relevant information</p>		
<p>National reporting</p>	<p>31 August 2026</p>	<p>lina.fortelius@un.org</p>
<p>Parties to submit their sixth national report as complete as possible and on time</p>		

Call for information – Stockholm

Calls for information	Timelines for submission	Focal point
Financial mechanism: (I) Assessment of funding needs	Parties and others to provide the relevant information required to undertake the assessment of funding needs	31 August 2024
	Developed-country Parties to provide information on ways in which they can provide support, including new and additional financial resources, for the implementation of the Convention, including information on access to such support (using online questionnaire to be made available)	31 October 2024
	Other Parties to provide information on ways in which they can provide support, including financial resources, in accordance with their capabilities, for the implementation of the Convention, including information on access to such support (using online questionnaire to be made available)	31 October 2024
	Other sources, including relevant funding institutions, such as development banks and the private sector, to provide information on ways in which they can provide contributions to the implementation of the Convention, including information on access to such contributions (using online questionnaire to be made available)	31 October 2024
(ii) Sixth review of the financial mechanism	The entities entrusted with the operation of the financial mechanism to provide information relevant to the sixth review of the financial mechanism	In a timely manner
	Parties to provide information on their experiences gained in the context of activities funded by the financial mechanism	31 August 2024
	Intergovernmental and non-governmental organizations to provide relevant information pursuant to the objectives of the sixth review of the financial mechanism	31 August 2024

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michael.moser
@un.org

Call for information – joint issues

Calls for information	Timelines for submission	Focal point
Technical assistance	31 March 2024	lina.fortelius@un.org
<p>Developing-country Parties and Parties with economies in transition to submit information on needs for technical assistance and technology transfer (questionnaire to be made available)</p> <p>Developed-country Parties and others with the capacity to do so to submit information on the technical assistance and technology available to be transferred to developing country Parties and Parties with economies in transition (questionnaire to be made available)</p>		
Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes	As appropriate	tatiana.terekhova@un.org
<p>RC and SC Parties: voluntarily provide information about cases of international trade in hazardous chemicals occurring in contravention of the conventions (using the form available on http://www.brsmeas.org/tabid/8886/)</p> <p>BC Parties: report cases of illegal traffic (using the form available on http://basel.int/tabid/1544/ or table 9 of the format for national reporting)</p> <p>BRS Parties: best practices for preventing and combating illegal traffic and trade in hazardous chemicals and wastes covered by the conventions; information on action taken to implement and enforce the trade control measures, as well as on challenges Parties may be facing</p>		
Dates and venue of the next meetings of the conferences of the Parties	28 January 2025	david.ogden@un.org
<p>Parties to submit offers to host the 2027 meetings of the conferences of the Parties</p>		



Thank you for your attention!
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