



## BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

### PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input type="checkbox"/> BC <input type="checkbox"/> RC <input type="checkbox"/> SC <input checked="" type="checkbox"/> SYN		Tools and methodologies for training and capacity-building, including needs assessment	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Parties to the Basel, Rotterdam and Stockholm conventions
Project start date: 01/01/2016		Project completion date: 31/12/2017	Total duration: 24 months
<b>LEGAL BASIS AND MANDATE</b>			
PoW 13 (S1) Decision s BC-12/9, RC-7/7 and SC-7/16 on Technical Assistance Articles 10 and 16 of the Basel Convention Articles 16 and 19 of the Rotterdam Convention Articles 12 and 20 of the Stockholm Convention			
<b>BACKGROUND INFORMATION AND PROJECT JUSTIFICATION</b>			
<p>This activity aims to support the development of training materials that respond to the needs expressed by the parties. Based on the needs assessment<sup>1</sup> and the training methodologies developed by the Secretariat, existing training and capacity-building materials will be updated, compiled, organized following a modular approach and made available online, in order to facilitate their use by national entities and other relevant stakeholders. In addition new interactive tools for training and capacity-building will continue to be identified and developed, such as thematic modules (six modules on: new chemicals under the Stockholm and the Rotterdam conventions, POPs and/or mercury guidelines, effective participation, data collection, and furthermore supporting materials, sample presentation materials, sample infographics, and development and training on sample interactive elements), case studies and video training (on the Basel, Rotterdam and Stockholm conventions), which are expected to provide for user-friendly and attractive platforms for a wide array of capacity-building activities.</p> <p>This activity complements the face-to-face assistance provided by the Secretariat, expanding the reach of the conventions by delivering assistance to parties, at a lower cost, in real-time, through the use of web based training platforms such as online meetings, webinars and massive open online courses developed in cooperation with academia and other partners. The activity also provides the Secretariat with flexibility in responding to requests for technical assistance made by eligible parties in a faster and more efficient manner.</p> <p>The importance of cooperation among the Basel, Rotterdam and the Stockholm conventions, the Minamata Convention and the Strategic Approach for International Chemicals Management (SAICM) was recognized in the 2013 omnibus decision of the Basel, Rotterdam, and Stockholm conventions. The cooperation includes mutual support to effectively assist countries in implementing the conventions and to contribute to meeting the 2020 goal on the sound management of chemicals throughout their lifecycle and of hazardous wastes. Therefore certain resources, including tools and materials, can be jointly developed and used. This project will support the achievement of the sustainable development goal 12: Ensure sustainable consumption and production patterns and in particular its target 12.4: by 2020 achieve environmentally sound management of chemicals and all wastes throughout their life cycle in accordance with agreed international frameworks and significantly reduce their release to air, water and soil to minimize their adverse impacts on human health and the environment.</p>			
<b>PROPOSED ACTIVITIES</b>			
<b>Integrated assessment of technical assistance needs</b> 1. Information is made available on the website in the six UN languages; 2. An electronic tool to integrate the needs assessment of parties to the 3 conventions.			

<sup>1</sup>Document UNEP/CHW.12/INF/24, UNEP/FAO/RC/COP.7/INF/16, UNEP/POPS/COP.7/INF/15.

## **Compilation and organization of training materials**

### Resource kits for the three conventions

1. Updated resource kits;
2. Updated resource kits available in 6 UN languages.

### Electronic library

1. Compilation of materials;
2. Development of an online database.

## **Development of new training tools**

The budget for this activity includes only tools for the delivery of technical assistance to parties; other IT tools for exchange of information or the maintenance of the clearing-house mechanism are budgeted in Activity 25 (\$10).

### Modules

1. Six modules are developed;
2. Modules available online in three languages;
3. Translation into the five other UN languages, design/publication of the Customs Manual on the Basel, Rotterdam and Stockholm conventions;
4. Supporting materials developed;
5. Development of harmonized versions of the BC, RC and SC checklists for the legislator;
6. Sample presentation materials developed (information material);
7. Sample infographics developed (information material);
8. Development and training on interactive elements.

### Case studies

1. Six case studies developed;
2. Case studies available in three UN languages (translation).

### Video training

1. Three videos developed and disseminated (7-10min), available in three UN languages;
2. Six training videos developed and disseminated (7-10min) on specific topics of the conventions available in three UN languages.

## **Dissemination of information on technical assistance**

1. Newsletter developed and disseminated (staff costs only);
2. Newsletter available in three UN languages.

## **Web-based training platforms**

1. Equipment and licenses for interpreted webinars and online meetings;
2. Subcontracts for development of massive open online courses developed;
3. Consultancies funded;
4. Funded participants to meetings to support to participants in face-to-face activities associated with massive open online courses, where such courses are offered as blended learning opportunity: online followed by a short session face-to-face.

### Webinars, online meetings and massive open online courses (summary)

1. 24 online meetings organized;
2. 150 webinars organized;
3. 4 massive open online courses.

## RESULTS TO BE ACHIEVED

### Expected results:

#### 1. Integrated assessment of technical assistance needs

Continue to compile and analyze technical assistance needs for the three conventions by collecting information through different channels including an interactive tool where parties are able to update the information themselves.

#### 2. Compilation and organization of training materials

Compile and organize existing training materials in order to facilitate access and stimulate their use by the parties through a comprehensive database in the form of an electronic library, which includes resource kits, designed to support parties in the implementation of the three conventions.

#### 3. Development of new training tools and other electronic tools

Develop new training material following a modular approach. The material will be developed through modules, case studies and video trainings and will include topics such as development, review and update of national plans; chemicals listed under the Rotterdam and the Stockholm conventions, the environmentally sound management (ESM) of hazardous wastes; exemptions and their continued need; development and review of legal frameworks; and preventing and combating illegal traffic and trade. Further modules, case studies and videos have also been incorporated in other concept notes.

#### 4. Dissemination of information on technical assistance

Disseminate information on technical assistance to parties through the Basel, Rotterdam and Stockholm conventions Technical Assistance Newsletter which is a monthly source of information on technical assistance relevant for the implementation of the three conventions.

#### 5. Web-based training platforms

Continue to organize online training, webinars and online meetings, which will provide training sessions of short duration with experts interacting in real time. In addition, develop massive open online courses in cooperation with universities. Some of these courses are proposed as blended learning (online and face-to-face) for selected participants who complete successfully the online part of the blended learning course.

### Indicator of success and Means of verification:

#### Integrated assessment of technical assistance needs

1. A technical assistance needs database is made public and kept up-to-date;
2. Identification of priority areas for assistance is based on an analysis of extensive information collected;
3. Simplification of information collection from parties through a new user-friendly tool.

#### Compilation and organization of training materials

##### - Resource kits for the three conventions

1. Resource kits are updated;
2. Resource kits are made available online.

##### - Electronic library

1. Relevant materials for the three conventions are compiled;
2. A database is created and made available online;
3. The database is available in three UN languages.

#### Development of new training tools and other electronic tools

##### - Modules

1. Number of modules developed and available on line;
2. Number of supporting materials developed;
3. Number of modules used in training and capacity-building activities undertaken by the Secretariat.

##### - Case studies

1. Number of case studies developed and made available online;
2. Number of case studies used in training and capacity-building activities undertaken by the Secretariat.

##### - Video training

1. Number of short duration videos developed;
2. Number of video training on each of the three conventions available and accessible online using internal/external platforms;
3. Number of video materials prepared;

4. Number of people that accessed the videos online.

Dissemination of information on technical assistance

1. A newsletter on technical assistance is published every month, is disseminated to a wide audience and made available online;
2. The newsletter is available in three UN languages.

Web-based training platforms

1. Number of webinars and online meetings organized respectively for the Basel, Rotterdam, Stockholm conventions and on synergies issues including with the Secretariat of the Minamata Convention on Mercury and the SAICM Secretariat;
2. Number of training programmes organized remotely, and also in cooperation with partners and academia;
3. Number of persons trained;
4. Number of convention related subjects addressed via the web.

**PROJECT SUSTAINABILITY AND REPLICABILITY**

A desk-study will be undertaken to evaluate completed activities and their impact. A basic methodology, based on a survey, will be developed to support the study. A report will be prepared on the outcomes of the study outlining lessons learnt and recommendations for future activities.

**GENDER MAINSTREAMING**

All activities under this project will take into consideration social issues and gender equality. Gender will be considered when specific activities are designed and during the implementation of activities and their evaluation. A basic gender analysis will be conducted for gender relevant activities as part of the design phase.

The use and dissemination of the tools developed under this project will be accessible by men and women, independently of their gender.

**OUTREACH AND INFORMATION SHARING**

The outputs of the project will be accessible and disseminated through the BRS website.

**BUDGET [USD] FOR 2016-2017**

STAFF PERSONNEL	678,800
CONTRACTUAL SERVICE	30,230
TRAVEL	100,000
EQUIPMENT, VEHICLES, FURNITURE	130,000
OTHER OPERATIONAL COSTS	210,000
GRANTS OUT	515,000
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>	<b>1,664,030</b>
<i>Programme Support Costs (PSC) 13%</i>	<i>216,324</i>
<b>TOTAL OPERATIONAL BUDGET</b>	<b>1,880,354</b>